

## ESDiT Financial reimbursement regulations

### Abbreviations

DB – Daily Board

MB – Management Board

### General

All applications for funding should be received by ESDiT Project manager - Seeta Autar [b.autar@utwente.nl](mailto:b.autar@utwente.nl). The DB will consider your request in the first meeting that follows your submission.

Application procedure: talk with the coordinators of your Research Line or Track about any plans you have. They can apply for funding on your behalf.

If you have a great idea that you think would benefit the consortium, don't hesitate to pitch it to the coordinators of your research line. As long as the benefits for the consortium are clear, we will always look into the options for funding.

### Fellows and related funding

#### *Research fellows (NWO and in cash)*

- Fellows will mainly be funded from the NWO grant awarded to the ESDiT institutes
- Full time dedicated to the ESDiT programme
- ESDiT meetings are mandatory and free of charge
- ESDiT will ask for an annual progress report to monitor the project output

#### *Research fellows (in kind)*

- Fellows are from one of the ESDiT institutes but will be funded from other sources
- 0.2 FTE dedicated to the ESDiT programme
- ESDiT meetings are mandatory and free of charge
- ESDiT will ask for an annual progress report to monitor the project output

#### *Associate fellows*

- Fellows are from ESDiT institutes, or any institute or company
- No dedicated time required
- Travel and overnight costs will not be reimbursed by ESDiT

### Open access publishing costs

The programme's original budget is very limited for covering open access publication costs – 36 kEUR in total. A reservation of 8 kEUR per year has been made for Open Access applications with a maximum of 3 kEUR per person during their employment. A total of 72 kEUR (budget has been added from the dissemination and valorisation budget).

#### Rules:

- We want to use this budget mainly to reimburse the costs of publishing in full open access journals (the so-called gold route). Because for these journals, if you cannot pay the article processing charge, you cannot publish in them. We will consider if:
  - there no alternative funding sources
  - there are no alternatives like a green option
  - there are good reasons for not opting for a journal from a publisher with which the university or UNL (Dutch Universities) have no contract
  - the scientific and social impact of the journal is (a) especially high and (b) relevant to esdit
  - and possibly something like: 'this a journal that ESDiT wants to support'
- We have decided not to reimburse the costs of publishing book chapters in open access.

- When you apply for the open access budget, we will ask you to explain why this journal is a strong choice to submit your paper and whether you considered alternatives. We do so to avoid sponsoring predatory journals, and journals engaged in “double dipping” - i.e., journals that have introduced article processing charges, while not providing a proportionate decrease in subscription cost.
- N.B. it may still be possible to get reimbursed directly through your department even if the programme’s budget is exhausted, so be sure to explore that option too. Many faculties have their own open access publications budgets.

The NWO guidelines state that all consortium publications must be made available open access: [NWO Open Access policy for projects funded from 1-1-2016 to 1-1-2021](#)

Minimal requirements: GREEN - publication in a closed subscription journal and sharing a version of the article directly (without embargo) via an open access repository (preferably the version of record, otherwise the authors accepted manuscript or the preprint). The repository should be registered in the Directory of Open Access Repositories (OpenDOAR).

Please consult the consortium guidelines on open access publishing available on Surfdrive under ‘project documents’ for details. And please read it extra carefully if you’re not yet familiar with the ‘Taverne amendment’. Article 25fa of the Dutch Copyright Act, also known as the Taverne Amendment, allows researchers to share short scientific works, regardless of any restrictive publisher’s guidelines. Short scientific works include articles, book chapters or book sections in an edited book and conference publications. Article 25fa of the Dutch Copyright Act states that:

*“The maker of a short scientific work, the research for which has been paid for in whole or in part by Dutch public funds, shall be entitled to make that work available to the public for no consideration following a reasonable period of time after the work was first published, provided that clear reference is made to the source of the first publication of the work.”*

A reasonable period of time is nationally defined as six months after the first (online) publication.

- We want to use this budget mainly to reimburse the costs of publishing in full open access journals (the so-called gold route). Because for these journals, if you cannot pay the article processing charge, you cannot publish in them.
- We have decided not to reimburse the costs of publishing book chapters in open access.
- When you apply for the open access budget, we will ask you to explain why this journal is a strong choice to submit your paper and whether you considered alternatives. We do so to avoid sponsoring predatory journals, and journals engaged in “double dipping” - i.e., journals that have introduced article processing charges, while not providing a proportionate decrease in subscription cost.
- N.B. it may still be possible to get reimbursed directly through your department even if the programme’s budget is exhausted, so be sure to explore that option too. Many faculties have their own open access publications budgets.

### **Travel / conference visits**

Each of the participating institutions receives its own yearly sub-budget specifically for travel and conference visits of their research fellows (in-cash, NWO). This is calculated based on the number of research fellows per institute. The budget consists of:

- 1000 EUR per research fellow - ESDiT-funded PhD,
- 1000 EUR per research fellow - ESDiT-funded Postdoc
- 2000 EUR for research fellow - ESDiT-funded Tenure trackers.

It is up to your institution how they distribute the total available budget internally; the budget calculation for an institution does not translate to any individual claims for research fellows. But by being transparent on the way the budget is set up, we do want to give everyone an indication of what

should and should not be possible to fund directly out of the programme. For ESDiT in-kind research fellows travel/conference visits should be negotiated with the ESDiT representative of your institution.

#### **PhD-abroad fund**

A fund of 120 kEUR to cover costs for PhD visiting or working abroad for a longer period of time. Requests for the PhD broad funding, at the maximum of 10 kEUR, have to be submitted to the DB with a justified budget and project description. From the project description it should be clear that the project serves an overall ESDiT purpose preferably with an impact on more than one project. Requests for funding should be sent to the DB (click the link for instructions on how to apply).

#### **Funding for events, collaborations and other activities**

Next to the budget reserved for consortium meeting there is budget of 140 kEUR received for organising larger workshops and other events that further the research objectives of ESDiT. Such funds could be applied for by representatives of one or more lines of tracks and/or by any working groups that are associated with one or more ESDiT objectives. Additionally, each Research Line and Track has a budget of 750 EUR per year to organise group meetings.

#### **Establishing living labs**

The living labs have been budgeted as an investment. Each institute contributes to the total budget of 400 kEUR in the collaborations and strengthening of living labs. Annual plans for a living lab need to be shared with the DB and approved by the MB.

#### **Visiting scholars**

A total budget of 75 kEUR has been reserved for visiting scholars. We would like to start this initiative in the year 2023 and 2024 with an offer of 5k EUR for visiting scholars to see whether this is an attractive way to get scholars from outside ESDiT interested in working together with ESDiT fellows. Requests for funding should be sent to the DB.

#### **Reach Fund (co-funding)**

400 kEUR total budget to support special leave such as young parents or sick leave and care taking. Requests for funding should be sent to the DB (click the link for instructions on how to apply).

#### **Sabbatical fund (co-funding)**

400 kEUR total budget for sabbatical leave. Requests for funding should be sent to the DB.

#### **Questions?**

For all questions, you can contact the Programme Manager or DB.